

12/21/01

JC525 U.S. PTO

NATIONAL CHAPTER - US

JC10 PCT/PTO 21 DEC 2001

Annex US.II, page 1

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK
OFFICE
(REV 10-94)
**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER
740-64

U.S. APPLICATION NO. (If known, see 37
CFR 1.5)

10/019339

INTERNATIONAL APPLICATION NO.
PCT/EP00/04691

INTERNATIONAL FILING DATE
23 May 2000 (23.05.00)

PRIORITY DATE CLAIMED

~~18 May 1999 (18.05.99)~~ 23 Jun 1999

TITLE OF INVENTION
PRESSURIZED FLUID NOZZLE

APPLICANT(S) FOR DO/EO/US
LUCIEN MASSON

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)), (verified) incorporating substitute pages 16-18 filed under Article 19.
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau). and
 - b. ☒ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☒ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (UNSIGNED)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a. Request (PCT/RO/101) (3 pages)
 - b. International Search Report (PCT/ISA/210) (5 pages)
 - c. International Preliminary Examination Report in German language (PCT/IPEA/409) (7 pages)
 - d. Verified translation of Art. 34 amendment (3 pages)

page 1 of 2
US

(11/01) MAXHAM

Annex US.II, page 2

NATIONAL CHAPTER - US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; margin-left: 100px;">10/019339</div>		INTERNATIONAL APPLICATION NO. PCT/EP00/04691		ATTORNEY'S DOCKET NO. 740-64					
17. <input type="checkbox"/> The following fees are submitted: <div style="margin-left: 40px;"> Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO \$ 1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International search fee (37 CFR 1.445(a)(2) paid to USPTO \$ 740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00 </div> <div style="text-align: right; margin-top: 10px;"> ENTER APPROPRIATE BASIC FEE AMOUNT = </div>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%;">CALCULATIONS</th> <th style="width:50%;">PTO USE ONLY</th> </tr> <tr> <td style="height: 150px; vertical-align: bottom;"> <div style="text-align: right; margin-bottom: 5px;">\$ 1,040</div> </td> <td></td> </tr> </table>		CALCULATIONS	PTO USE ONLY	<div style="text-align: right; margin-bottom: 5px;">\$ 1,040</div>	
CALCULATIONS	PTO USE ONLY								
<div style="text-align: right; margin-bottom: 5px;">\$ 1,040</div>									
Surcharge of \$130.00 for furnishing the oath or declaration later than <u> 20 </u> <u> 30 </u> months from the earliest claimed priority date (37 CFR 1.492(e)).				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: right;">\$</td> <td style="width:50%;"></td> </tr> </table>		\$			
\$									
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE						
Total Claims	32 - 20	12	x \$ 18.00	\$ 216					
Independent Claims	2 - 3	0	x \$ 84.00	\$ 0					
Multiple dependent claim(s) (if applicable)			+ \$260.00	\$ 260					
TOTAL OF ABOVE CALCULATIONS =				\$ 1516					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2				\$ 758					
SUBTOTAL =				\$ 758					
Processing fee of \$130. for furnishing the English translation later than <u> 20 </u> <u> 30 </u> months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$					
TOTAL NATIONAL FEE =				\$ 758					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$					
TOTAL FEES ENCLOSED				\$ 758					
				Amount to be:					
				refunded	\$				
				charged	\$				

a. ☒ A check in the amount of \$ 758 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-0460. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
 LAWRENCE A. MAXHAM
 THE MAXHAM FIRM
 750 "B" Street, Suite 3100
 San Diego, California 92101

LAWRENCE A. MAXHAM, Reg. No. 24,483

107019339

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"EXPRESS MAIL" MAILING LABEL NO. EL 898589807 US

DATE OF DEPOSIT: 21 December 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING
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"EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE
UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND
IS ADDRESSED TO BOX NEW APP FEE - COMMISSIONER FOR
PATENTS, WASHINGTON, D.C. 20231.

LAWRENCE A. MAXHAM

(NAME)

Lawrence A. Maxham

(SIGNATURE)

12/019339

531 Rec'd PCT/PT 21 DEC 2001

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

In re
Applicant : LUCIEN MASSON
U.S. Application No. : (Unknown)
International Application No. : PCT/EP00/04691
International Filing Date : 23 May 2000 (23.05.00)

For
PRESSURIZED FLUID NOZZLE
Priority Data : DE 199 28418.0
Filed : 23 June 1999 (23.06.99)

Our Attorney/Docket Reference : 740-64

EXPRESS MAIL LETTER

Box PCT
Commissioner for Patents
Washington, D.C. 20231

The below items are enclosed with this letter for filing the above-identified National application:

1. Express Mail Certification
2. Transmittal Letter to the U.S. Designated/Elected Office (2 pages)
3. Combined Declaration for Patent Application & Power of Attorney (2 pages) (unsigned)
4. Preliminary Amendment (1 page), with Application (including specification (13 pages), claims (5 pages), abstract (1 page) and drawings (6 sheets)
5. Verified English Translation of application (16 pages);
6. Verified English Translation of ART. 19 amendment (4 pages)
7. Verified English Translation of ART. 34 amendment (3 pages)
8. PCT Request (PCT/RO/101) (3 pages)
9. International Application published under the Patent Cooperation Treaty with Replacement pages and International Search Report (32 pages)
10. International Preliminary Examination Report (PCT/IPEA/409) (7 pages)
11. Check for \$758 filing fee
12. Return Receipt Postcard

The serial number of the Express Mail certificate is EL 898589807 US, mailed on
21 December 2001.

Respectfully Submitted

A handwritten signature in black ink, appearing to read "Lawrence A. Maxham". The signature is fluid and cursive, with a long horizontal stroke at the end.

Lawrence A. Maxham
Registration No. 24,483